



SF INVESTMENTS, INC.

PRIVACY ACT DISCLOSURE

NOTICE OF SF INVESTMENTS, INC. PRIVACY POLICY

Our Commitment To Your Privacy: SF Investments, Inc. (“SF”) has a longstanding policy of protecting the confidentiality and security of information we collect about customers. We will not share nonpublic information about you (“Information”) without your consent, except for specific purposes described below. This notice describes the Information we may gather and the circumstances under which we may share it.

Why We Collect And How We Use Information: We limit the collection and use of Information to the minimum we require to deliver superior service to you. Such service includes maintaining your accounts with us, processing transactions requested by you and administering our business.

How We Gather Information: We get most Information directly from you, when you apply for, access and use financial products and services offered by our firm. This Information may relate to your finances, employment, as well as transactions and interactions with our firm or others.

How We Protect Information: Our employees are required to protect the confidentiality of Information and to comply with our established policies. They may access Information only when there is an appropriate reason to do so, such as to administer our products or services. We also maintain physical, electronic and procedural safeguards to protect Information, which comply with all applicable laws. Employees who violate our Privacy Policy are subject to disciplinary process.

Disclosure of Information: We may disclose any Information to or when we believe it is necessary for the conduct of our business, or where law requires disclosure. For example, Information may be disclosed for audit, to attorneys or other professionals, or to the law enforcement and regulatory agencies, for example, to help prevent fraud. Except in those specific, limited situations mentioned above, without your consent, we will not make any disclosures of Information to other companies who may want to sell their products or services to you. For example, we do not sell customer lists and we will not sell your name to a catalogue company.

Former Customers: Even if you are no longer a customer of our firm, our Privacy Policy will continue to apply.

Access To And Correction Of Information: If you desire to review any file we may maintain for your personal Information, please contact us. If you notify us that any Information is incorrect, we will review it. If we agree, we will correct our records.

Further Information: We reserve the right to change this Privacy Policy. The examples contained within this Privacy Policy are illustrations and they are not intended to be exclusive. This notice complies with recently enacted Federal Law and new SEC regulations regarding privacy.

CUSTOMER COMPLAINTS

Customer complaints may be directed, at any time, to:

Daniel Shapiro
Principal
SF Investments, Inc.
799 Central Avenue
Suite 350
Highland Park, IL 60035

Telephone (847) 926-5700

Fax (847) 926-5701

[Please sign, date and return bottom portion. Thank you.]

I hereby acknowledge receipt of SF Investments, Inc. "Privacy Policy" on this _____ day of _____ 2011.

Client Signature

Account Number